

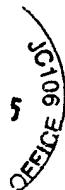
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date: April 27, 2005

Monica Mehta



PATENT  
401720-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: CHIH-WEI HUANG

Art Unit: 2164

Serial No.: 09/970,736

Examiner:

Filed: October 3, 2001

Title: SYSTEM AND METHOD FOR  
MEASURING PERFORMANCE OF TRADING  
INSTRUMENTS WITHIN A MARKET

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. § 1.56, Applicant submits herewith the attached Supplemental Information Disclosure Statement Form PTO-1449 in connection with the above-identified patent application along with a copy of each reference (1). The enclosed reference was cited in an International Search Report (copy enclosed) in a related PCT application. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

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Applicant respectfully submits that no fee is necessary for the filing of this information disclosure statement since, pursuant to 37 C.F.R. § 1.97(e)(1):

Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three month prior to the filing of this information disclosure statement.

Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-0639 for any fee shortages.

Respectfully submitted,



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Attorney for Applicant  
Registration No. 34,549

Date: April 27, 2005

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FORM PTO-1449 (MODIFIED)	ATTY. DOCKET NO. 401,720-001	SERIAL NO. 09/970,736
<p>APR 19 2005 U.S. PATENT AND TRADEMARK OFFICE</p> <p><b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b></p> <p>(USE SEVERAL SHEETS IF NECESSARY)</p>		
	FILING DATE: October 3, 2001	GROUP: 2164

**Reference Designation**      **U.S. Patents**

Examiner Initial	Document Number	Date	Name	Class	Filing Date Subclass if appropriate
	AA 6,012,042	01/04/00	Black et al.		
AB					
AC					
AD					
AE					
AF					
AG					
AH					
AI					
AJ					
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AL					

## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Filing Date Subclass if appropriate

OTHER ART (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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**EXAMINER**

## **DATE CONSIDERED**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.